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Department of Banking and Finance



Pete Ricketts, Governor

NDBF to Hold August 17th Investment Adviser Compliance Seminar

JULY 13, 2017 (LINCOLN, NEB.) — The Nebraska Department of Banking and Finance (“NDBF”) recently [announced](#) comprehensive amendments to its Rules under the Securities Act of Nebraska, contained in Title 48 of the Nebraska Administrative Code, became effective on June 5, 2017. The amendments reflect NDBF’s goal of reducing regulatory complexity and promoting uniformity while protecting investors and promoting capital formation in Nebraska.

The NDBF Bureau of Securities will host a compliance seminar on **August 17, 2017 at 2:00 pm CDT** to assist investment advisers and their compliance personnel in understanding and complying with these new requirements. Speakers will include NDBF Director Mark Quandahl, Deputy Director – Securities Bureau Claire McHenry, and Securities Bureau Counsel Mike Cameron.

People may attend in person or electronically via webinar. Space is limited. Register by August 11, 2017 to reserve your seat.

Where: Training Room – Basement Level
1526 K Street
Lincoln, NE 68508

When: August 17, 2017
2:00 pm – 3:30 pm

Registration: Click [here](#) to email the NDBF Securities Bureau. Include in the email your name, firm, and whether you will attend in person or via webinar. Webinar participants will be emailed instructions on joining the webinar.

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