

Nebraska Securities Act
Chapter 8, Article 11
§§ 8-1101 to 8-1123

8-1101	Terms, defined.
8-1101.01	Federal rules and regulations adopted under the Investment Advisors Act of 1940 or the Securities Act of 1933, defined.
8-1102	Fraudulent and other prohibited practices.
8-1103	Broker-dealers, issuer-dealers, agents, investment advisers, and investment adviser representatives; registration; procedure; exceptions; conditions; renewal; fees; accounts and other records; revocation or withdrawal of registration; when; powers of director regarding persons engaged or engaging in securities business.
8-1103.01	Repealed. Laws 2003, LB 217, § 50.
8-1104	Registration of securities; exceptions.
8-1105	Repealed. Laws 2013, LB 214, § 13.
8-1106	Registration by coordination.
8-1107	Registration by qualification.
8-1108	Registration of securities; requirements; fees; effective date; reports; director, powers.
8-1108.01	Securities; sale without registration; cease and desist order; fine; lien; hearing.
8-1108.02	Federal covered security; filing; director; powers; sales; requirements; fees; consent to service of process.
8-1108.03	Federal covered security; filing fee; notice; open-end management company, face-amount certificate company, or unit investment trust; issue indefinite amount of securities; when.
8-1109	Registration of securities; denial, suspension, or revocation; grounds.
8-1109.01	Registration of securities; denial, suspension, or revocation; additional grounds.
8-1109.02	Registration of securities; order of denial, suspension, or revocation; notice; request for hearing; modification of order.
8-1110	Securities exempt from registration.
8-1111	Transactions exempt from registration.
8-1112	Registrant; subject to personal jurisdiction.
8-1113	False or misleading filings; unlawful.
8-1114	Unlawful representation concerning merits of registration or exemption.
8-1115	Investigations; subpoena; director; powers.
8-1115.01	Investigation or other proceeding; prohibited acts.
8-1116	Violations; injunction; receiver; appointment; additional court orders authorized.
8-1117	Violations; penalty.
8-1118	Violations; damages; statute of limitations.
8-1119	Appeal; procedure.

8-1120	<u>Administration of act; Director of Banking and Finance; powers and duties; use of information for personal benefit prohibited; Securities Act Cash Fund; created; use; investment; transfers; document filed, when.</u>
8-1121	<u>Exemption or exception; burden of proof.</u>
8-1122	<u>Act; how construed.</u>
8-1122.01	<u>Federal limits rejected.</u>
8-1123	<u>Act, how cited.</u>
8-1124	<u>Repealed. Laws 1988, LB 795, § 8.</u>