

LEGAL NAME: _____
NET CAPITAL COMPUTATION
AS OF CLOSE OF BUSINESS DATE: _____

TOTAL ASSETS	\$ _____
<u>SUBTRACT THE FOLLOWING ITEMS:</u>	
• PREPAID EXPENSES	\$ _____
• DEFERRED CHARGES	\$ _____
• GOODWILL	\$ _____
• FRANCHISE RIGHTS	\$ _____
• ORGANIZATIONAL EXPENSES	\$ _____
• PATENTS/COPYRIGHTS	\$ _____
• MARKETING RIGHTS	\$ _____
• UNAMORTIZED DEBT DISCOUNT AND EXPENSES	\$ _____
• ALL OTHER ASSETS OF AN INTAGIBLE NATURE	\$ _____
<u>SOLE PROPRIETOR</u> (Items not readily available in the case of an individual)	
• HOME	\$ _____
• HOME FURNISHINGS	\$ _____
• AUTOMOBILE(S)	\$ _____
• ANY OTHER PERSONAL ITEMS NOT READILY MARKETABLE	\$ _____
<u>CORPORATION</u>	
• ADVANCES OR LOANS TO STOCKHOLDERS OR OFFICERS	\$ _____
<u>PARTNERSHIP OR LIMITED LIABILITY COMPANY</u>	
• ADVANCES OR LOANS TO PARTNERS/MEMBERS	\$ _____
SUBTOTAL - NET TOTAL ASSETS	\$ _____
TOTAL LIABILITIES (SUBTRACT)	\$ _____
NET CAPITAL COMPUTATION	\$ _____

INSTRUCTIONS

This form is required to be completed at the time of initial registration and at renewal time.

Submission of the form can be done by email or by mail:

Nebraska Department of Banking and Finance

1526 K Street Suite 300

PO Box 95006

Lincoln, NE 68508-2732

DOB.SecuritiesBureau@Nebraska.gov

This form is used to calculate Net Capital as required by [48 NAC 7.008 -Financial Requirements.](#)