

STATE OF NEBRASKA
Department of Banking & Finance

In the Matter of:)	
)	
Parker Grant BMC, Inc.,)	
14301 FNB Parkway, Suite 100,)	
Omaha, Nebraska;)	FINDINGS OF FACT
)	
Daniel M. Porter)	CONCLUSIONS OF LAW
14301 FNB Parkway, Suite 100,)	
Omaha, Nebraska;)	AND
CRD # 2032112; and)	
)	ORDER TO CEASE AND DESIST
Their Affiliates, Controlling Persons,)	
Officers, Directors, Agents,)	
and Employees.)	
)	
Respondents.)	

THIS MATTER comes before the Nebraska Department of Banking and Finance (“Department”), by and through its Director, pursuant to its authority under the Securities Act of Nebraska, Neb. Rev. Stat. §§ 8-1101 to 8-1123 (Reissue 2012, Cum. Supp. 2016) (“the Act”). Pursuant to Neb. Rev. Stat. § 8-1115 (Reissue 2012), the Department has investigated the acts of Parker Grant BMC, Inc., 14301 FNB Parkway, Suite 100, Omaha, Nebraska; Daniel M. Porter, 14301 FNB Parkway, Suite 100, Omaha, Nebraska, CRD #2032112; and their affiliates, controlling persons, officers, directors, agents, and employees (collectively “Respondents”). Based upon its investigation, the Department hereby finds as follows:

FINDINGS OF FACT

1. Parker Grant BMC, Inc. (“Parker Grant”) was a corporation organized under the laws of the State of Nebraska. Parker Grant was administratively dissolved by the Nebraska Secretary of State on April 16, 2016.

2. Daniel M. Porter (“Porter”) is the president of Parker Grant. Porter was formerly a broker-dealer agent for The Rockwell Financial Group, Inc. (“Rockwell”); however, while employed by Rockwell, Porter lived in New York and was not registered as an agent with the Department.

3. AmeriWorks, Inc. (“AmeriWorks”) is a New Jersey corporation with its headquarters located in East Stoudsburg, Pennsylvania. During 2016, AmeriWorks sold shares of common stock (“AmeriWorks Shares”) to investors located across the United States. In order to conduct the offering, AmeriWorks engaged the services of Parker Grant and Porter to act as “finders” on its behalf. According to information provided to the Department by Porter, his role was to contact leads that the company provided him, and to submit names of those interested to AmeriWorks. Parker Grant and/or Porter would receive a finder’s fee for each sale resulting from one of the leads.

4. At all times relevant to this Order, Respondents were not registered as broker-dealers under the Act.

5. At all times relevant to this Order, Porter was not registered as an agent under the Act.

CONCLUSIONS OF LAW

1. The AmeriWorks Shares constitute securities, as defined by Neb. Rev. Stat. § 8-1101(15) (Cum. Supp. 2016).

2. By acting as a finder, Parker Grant and Porter engaged in the business of effecting transactions and sales in securities and acted as a broker-dealer, as defined in Neb. Rev. Stat. § 8-1101(2) (Cum. Supp. 2016). By representing Parker Grant in offering and offering the

AmeriWorks Shares, Porter acted as an agent, as defined in Neb. Rev. Stat. § 8-1101(1) (Cum. Supp. 2016).

3. Neb. Rev. Stat. § 8-1108.01(2) (Cum. Supp. 2016) provides that whenever it appears to the Director that any person is acting as a broker-dealer or as an agent without registration as such, the Director may order such person to cease and desist from such activity unless and until they have been registered as such under the Act.

4. The issuance of an Order to Cease and Desist is necessary in this matter and appropriate in the public interest and for the protection of investors, and is consistent with the purposes fairly intended by the policy and provisions of the Act.

ORDER

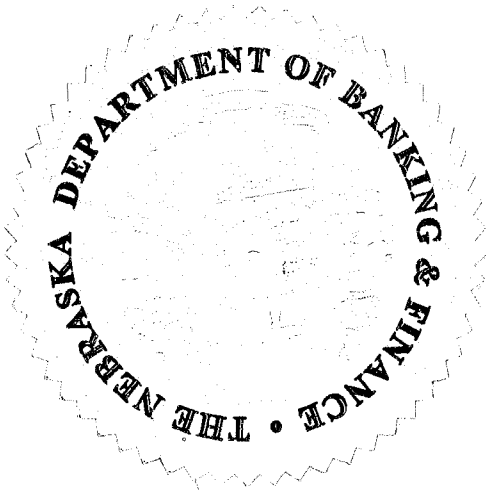
IT IS, THEREFORE ORDERED that Parker Grant BMC, Inc., Daniel M. Porter; and their affiliates, controlling persons, officers, directors, agents, employees, and successors; and any person or entity directly or indirectly controlled or organized by or on their behalf, are ordered to immediately cease and desist from the further offer or sale of securities, including, but not limited to, the AmeriWorks Shares, until they have been registered as broker-dealers or agents with the Department, or unless an exemption from registration under existing law can be shown by proof satisfactory to the Department.

Respondents may file a written request for a hearing with the Department at any time prior to 5:00 p.m. CST on March 9, 2017. If such a request is received, a hearing shall be held within thirty business days after receipt unless the parties agree to a later date or a hearing officer sets a later date for good cause. The party or parties requesting the hearing shall bear the cost of such hearing. If no hearing is requested and none is ordered by the Director, this Order shall

become a final order on March 10, 2017, and remain in effect until modified or vacated by the Director.

DATED this 16 day of February, 2017.

**STATE OF NEBRASKA
DEPARTMENT OF BANKING AND FINANCE**



By: Mark Quandahl
Mark Quandahl, Director

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