

Executive Officer's License Application for Transfer

Nebraska Department of Banking & Finance

<https://ndbf.nebraska.gov>

1526 K Street, Suite 300

Lincoln, NE 68508-2732

402-471-2171

This application to transfer an Executive Officer License is made pursuant to Section 8-139 of the Nebraska Banking Act and 45 NAC 9.

PART I: This section to be completed by the Applicant Bank.

Main Office Name

Street Address

City

County

State

Zip Code

Applicant Bank Official to Contact Regarding this Individual Application:

Name

Title

Telephone

Email Address

Email Address for Notification of Action by the Department, if different than above.

Email Address

Please attach the following to the completed application.

- A résumé for the Individual Applicant detailing recent work activities, current as of the date of the application.
- A completed United States Citizenship Attestation Form is required if the transferring Executive Officer's License is dated prior to October 1, 2009. [Click here](#) for the form.
- A check or ACH in the amount of \$50.00 payable to the Nebraska Department of Banking and Finance.

AUTHORITY

I, _____ (Name), President , CEO , Executive VP , or Board Member , of the Applicant Bank for which this Individual Application pertains, request the issuance of a license to the Applicant named herein, conveying the authority to act as an active executive officer of the Applicant Bank. (If the Individual Applicant is the Chief Executive Officer or President of the Applicant Bank, this request must be signed by a Board Member.)

Signature of President, CEO, Executive VP, or Board Member

Date

PART II: This section to be completed by the Individual for whom the Application is made.

Attach additional sheets as necessary to fully answer any question.

Biographical Report:

Individual Applicant's Name

Street (Home Address)

City

County

State

Zip Code

Last 4 digits of Social Security Number

Month and Day of Birth (No Year Requested)

Telephone Number

Individual Applicant's Bank Email Address

Existing Executive Officer License Number _____

Date Existing Executive Officer License Was Originally Issued _____

Bank Location Where Applicant Will Work:

Street Address

City

County

State

Zip Code

Employment Record:

1. Do you have two years of experience in the making of loans and/or investments? Yes No

If "yes," provide details of your experience. If the attached résumé details lending experience, responding with "See Attached" will be sufficient.

If "no," do you have comparable educational experience, defined as closely related to the experience requirements in such areas as examining and reviewing loans or investments or attending specific schools on loans and investments, and have you successfully completed at least one school or educational program concentrating on both loans and investments? Yes No

If you have comparable educational experience, provide details, including a list of schools or educational programs and dates attended.

School or Educational Program	Date Attended

If you do not have two years of experience in the making of loans and/or investments or comparable educational experience, provide the limits and/or restrictions on lending and/or investing, including amounts, that the Applicant Bank proposes to place on you.

2. Have you ever been dismissed or asked to resign from any past employment, including receiving an Other Than Honorable Discharge from military service? Yes No

If "yes," complete the following:

Employer's Name, Address, Telephone	Position	Date of Discharge	Explanation *Attach additional page if needed for full explanation*

3. List the duties and responsibilities you will have at the Applicant Bank.

General Information:

1. Have you or any business interest of yours ever undergone bankruptcy? Yes No
If "yes," provide full details, including place and date.

2. Have you ever been the subject of a garnishment? Yes No
If "yes," provide full details including place and date.
3. Have you ever been turned down or canceled on a personal, fidelity, or surety bond? Yes No
If "yes," provide name of bonding company and date of rejection or cancellation.
4. To your knowledge, are you, or have you ever been, the subject of an investigation regarding any professional license? Yes No
If "yes," provide full details.
5. Have you been arrested and/or convicted for the violation of any law that has not been pardoned or set aside other than a minor traffic infraction since the original issuance of your Executive Officer's License? Yes No
If "yes," provide full details.

ATTESTATION

I certify that the information contained in this application is true, correct, and complete and is current as of the date of this submission. I acknowledge that any misrepresentation or omission of a material fact constitutes fraud in the inducement and may subject me to legal or administrative sanctions.

Signature of Individual Applicant

Date