

NDBF REMINDS INVESTMENT ADVISERS, BROKER-DEALERS OF DECEMBER 31, 2026 RENEWAL DEADLINE

October 30, 2026 (LINCOLN, NEB.) — The Nebraska Department of Banking and Finance ("NDBF") reminds state-registered investment advisers, broker-dealers, and their agents and representatives that registrations in Nebraska expire on December 30, 2026. Firms will need to assemble required documentation and review filings to ensure all information is accurate and up-to-date. Failure to submit supplements or provide required information by the appropriate deadline will result in the termination of the registration on January 1, 2027.

Additionally, please insure that all Continuing Education Requirements have been satisfied to avoid Termination or Fail to Renew.