



Certification Regarding Foreign Adversary Control

Instructions: Please complete this form as outlined below, and upload into your NMLS record under the Additional Requirement(s) section in Document Uploads.

For existing Money Transmitter Licensees, this form is required to be completed and uploaded within sixty (60) days after the receipt of this form. Failure to complete this form will result in the Department instituting proceedings to revoke the licensee's Nebraska Money Transmitters license.

For applicants with a pending Money Transmitter License application, this form is required to be completed and uploaded before your license application will be reviewed in full for a licensing determination.

The Nebraska Money Transmitters Act, Neb. Rev. Stat. §§ 8-2701 to 8-2754, prohibits foreign adversary persons from owning or exercising control over a Nebraska Money Transmitter Applicant/Licensee. Foreign adversary persons are also ineligible to serve as authorized delegates or control authorized delegates for the Applicant/Licensee. Neb. Rev. Stat. §8-2702(12) defines foreign adversary person as:

(a) A foreign person that is domiciled in, is headquartered in, has its principal place of business in, or is organized under the laws of any country listed in 15 C.F.R. 791.4; (b) An entity with respect to which a foreign person or combination of foreign persons described in subdivision (12)(a) of this section directly or indirectly own at least a twenty-five percent share; or (c) A person subject to the direction or control of a foreign person or entity described in subdivision (12)(a) or (b) of this section.

If additional information is required for a request pursuant to Neb. Rev. Stat. 8-2751 or other additional space is needed, attach supplemental pages with this form when uploading. Contact Nebraska Department of Banking and Finance licensing staff by phone at 402-471-2171 or send your questions via email to dob.consumerfinance@nebraska.gov for additional assistance.

Certification Regarding Foreign Adversary Control

Applicant/Licensee Name: _____ NMLS No.: _____

1. Is the Applicant/Licensee a foreign adversary person as defined by Neb. Rev. Stat. §8-2702?
Yes ☐ No ☐
2. To the best of the Applicant's/Licensee's knowledge and information, are any authorized delegates or persons in control of such authorized delegates a foreign adversary person as defined by Neb. Rev. Stat. §8-2702?
Yes ☐ No ☐
3. Country of Origin/Domestication for Applicant/Licensee: _____
4. Headquarter country for Applicant/Licensee: _____
5. Country of Origin/Domestication for Ultimate Parent Company of Applicant/Licensee: _____
6. Headquarter Country for Ultimate Parent Company of Applicant/Licensee: _____
7. Are any key individuals or persons in control of the Applicant/Licensee a foreign adversary person as defined by Neb. Rev. Stat. §8-2702?
Yes ☐ No ☐
8. If the answer to any of the above questions is "Yes", provide a list of all such individuals, firms, authorized delegates or other legal entities, and provide their country of origin/place of citizenship:

NAME	COUNTRY OF ORIGIN/PLACE OF CITIZENSHIP
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

I, _____, an officer, director, or owner, of the Applicant/Licensee, certify that the information on this document, and any attachments thereto, is true, correct and complete.

Signature of officer, director, or owner of the Applicant/Licensee

Date

Printed Name: _____

Title: _____