

Money Transmitter Annual Report

Nebraska Department of Banking and Finance
<http://www.ndbf.ne.gov>

1526 K Street, Suite 300
PO Box 95006
Lincoln, NE 68509-5006
402-471-2171

Licensee's Legal Name: _____ NMLS# _____

MONEY TRANSMITTER ANNUAL REPORT

	Description	4 th Quarter 2015	1 st Quarter 2016	2 nd Quarter 2016	3 rd Quarter 2016
1.	Total number of payment instruments and stored value sold in Nebraska.				
2.	Total number of all money transmitter transactions not included in #1 that were sold in Nebraska.				
3.	Total dollar amount of all payment instruments and stored value sold in Nebraska.				
4.	Total dollar amount of all money transmitter transactions not included in #3 that were sold in Nebraska.				
5.	Total number of payment instruments and stored value sold in the United States.				
6.	Total number of money transmitter transactions not included in #5 that were sold in the United States.				

7.	Total dollar amount of all payment instruments and stored value sold in the United States.				
8.	Total dollar amount of money transmitter transactions not included in #7 that were sold in the United States.				
9.	Total dollar amount of all outstanding payment instruments and stored value in the United States as of the last day of each quarter.				
10.	Total dollar amount of all outstanding money transmitter transactions not included in #9 in the United States as of the last day of each quarter.				

PERMISSIBLE INVESTMENTS

Neb. Rev. Stat. § 8-2728 states “Each licensee shall at all times possess permissible investments having an aggregate market value, calculated in accordance with generally accepted accounting principles, of not less than the aggregate face amount of all outstanding payment instruments and stored value issued or sold by the licensee in the United States.”

	Description	Dollar amount as of September 30, 2016
1.	Cash.	
2.	Certificates of deposit or other debt obligations of a financial institution, either domestic or foreign.	
3.	Bills of exchange or time drafts drawn on and accepted by a commercial bank, otherwise known as bankers' acceptances, which are eligible for purchase by member banks of the federal reserve system.	
4.	Investments bearing a rating of one of the three highest grades as defined by a nationally recognized organization that rates such securities.	
5.	Investment securities that are obligations of the United States or its agencies or instrumentalities, obligations that are guaranteed fully as to principal and interest by the United States, or any obligations of any state or political subdivision thereof.	
6.	Shares in a money market mutual fund, interest-bearing bills or notes or bonds, debentures or stock traded on any national securities exchange or on a national over-the-counter market, or mutual funds primarily composed of such securities or a fund composed of one or more permissible investments as set forth in this section.	
7.	A demand borrowing agreement or agreements made to a corporation or a subsidiary of a corporation whose capital stock is listed on a national exchange.	
8.	Receivables that are due to a licensee from its authorized delegates pursuant to a contract which are not past due or doubtful of collection.	
9.	ACH Receivables, Debit Card Receivables, and Credit Card Receivables, as allowed by the Department’s Order Authorizing Additional Types of Permissible Investments dated August 5, 2014. (If you are relying upon this category, you must complete the attached affidavit).	

LOCATIONS

All authorized delegates are to be reported to the Department via the Uniform Authorized Agent/Delegate functionality on the NMLS. Some licensees have locations that are not authorized delegates (i.e. company-owned locations). To ensure that the Department has an accurate list of locations, please complete the following:

_____ All Nebraska locations are authorized delegates and are reported to the Department via the Uniform Authorized Agent/Delegate functionality on the NMLS.

_____ The licensee conducts businesses from locations in Nebraska which are not authorized delegates. A current list of such locations is attached. I further acknowledge that any change of locations is a material development which requires me to notify the Department of such change no later than 30 days after a change in location.

_____ All business is conducted on the Internet; therefore, the licensee has no authorized delegates to report.

I REPRESENT THAT THE INFORMATION CONTAINED HEREIN IS TRUE AND COMPLETE, TO THE BEST OF MY KNOWLEDGE AND BELIEF.

Date

Signature

Typed Name and Title

PERMISSIBLE INVESTMENT AFFIDAVIT

Instructions: This affidavit should only be completed if you intend to rely upon ACH Receivables, Credit Card Receivables, and Debit Card Receivables to meet the permissible investment requirement of Section 8-2728. If you have sufficient permissible investments in categories 1-8 to meet the requirement, you may leave category #9 blank and you do not need to complete this affidavit. Should you elect to rely upon category #9, this affidavit is required. This affidavit must be completed by an OFFICER of the licensee.

