

**Nebraska Department of Banking and Finance
Financial Institutions Division**

http://www.ndbf.nebraska.gov

1526 K Street Suite 300
PO Box 95006
Lincoln, NE 68509-5006
(402) 471-2171

**Application for
Approval of
Director**

This Space for Department of Banking and Finance Use Only

Approved

Denied

Director

Date

Section I - General Information/Instructions

This application form must be completed for each new director. All answers should be typewritten or legibly printed. All questions must be answered. If additional space is needed on any question(s), please attach extra sheets and reference them accordingly.

Submit **one originally signed application**, an **originally signed current personal financial statement** of the applicant, and a copy of the applicant's **current résumé** to the address listed above. Use of the Department financial statement form is optional. [Click here](#) to access the form.

If the Applicant is currently NOT a director of a Nebraska state-chartered bank or trust company, submit a copy of all Criminal History Reports for the Individual Applicant acquired through the appropriate state Criminal History Record repositories. A Criminal History Report must be acquired from each state in which the applicant has resided over the past ten years. [Click here](#) for a link to the Criminal History Record repositories for each state. When requesting a Criminal History Report from the Nebraska State Patrol, a signed release must be submitted to the Patrol. [Click here](#) for the release.

Pursuant to the applicable statutes, application is hereby made to the Department of Banking and Finance requesting approval of the following person to act as a director of the indicated institution.

Section II – Applicant Information

1. Complete the following:

Institution Name: _____ Date: _____

Institution's Complete Street & Mailing Address: _____

City: _____ Zip: _____

Applicant Name: Mr. Mrs. Ms. _____ Date of Birth: _____

Applicant Street Address: _____

City: _____ State: _____ Zip: _____ Social Security Number: _____

Applicant Email Address: _____ Phone: _____

2. Section 8-126 of the Nebraska Banking Act and Section 8-204 of the Nebraska Trust Company Act require that a director be of good moral character, known integrity, business experience, and responsibility. In support of these requirements, please submit the **Applicant's Present Business Occupation and Director's Qualifications**:

3. Read "The Director – Duties and Responsibilities" and complete the following.

I, _____, Applicant, do hereby certify that I have read, and that I understand the Department's publication, "***The Director-Duties & Responsibilities***" [Click here](#), or by mail from the Department. I also certify that the information contained in this application and all attachments thereto is true, correct, and complete, and is current as of the date of this submission. I acknowledge that any misrepresentation or omission of a material fact constitutes fraud in the inducement and may subject me to legal or administrative sanctions.

Applicant's Signature

Section III – Institution Information

1. What is the institution's plan for
(a) initial director education and orientation?

(b) continuing education of the proposed director regarding statutory, fiduciary, and operational responsibilities? (Past service as a director is not a satisfactory response.)

2. Complete the following.

(a) I, _____, President of the above-named institution, do hereby certify that the applicant named herein is a person of good moral character, known integrity, business experience and responsibility, and possesses attributes necessary to effectively act in the capacity of director. I also certify that a Criminal History Report from each state in which the applicant has resided over the past ten years has been reviewed.

(b) Does Applicant Bank desire an email notification upon action by the Department? Yes No
If yes, please provide email address below.

Email Address

President's Signature